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## **Long-Term Effects of Experimental Carotid Stenosis on Hippocampal Infarct Pathology, Neurons and Glia and Amelioration by Environmental Enrichment**

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Running headline: Environmental enrichment on hippocampal pathology

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## **Abstract**

Hippocampal atrophy and pathology are common in ageing-related disorders and associated with cognitive impairment and dementia. We explored whether environmental enrichment (EE) ameliorated the pathological sequelae in the hippocampus subsequent to chronic cerebral hypoperfusion induced by bilateral common carotid artery stenosis (BCAS). Seventy-four male C57BL/6 J mice underwent BCAS or sham surgery. One-week after surgery, mice were exposed to three different degrees of EE; either standard housing conditions (std), limited 3-hour exposure to EE per day (3h) or full-time exposure to EE (full) for 3 months. Four months after surgery, the hippocampus was examined for the extent of vascular brain injury and neuronal and glial changes. Results showed that long-term BCAS induced strokes, most often in CA1 subfield, reduced 40-50% CA1 neurons ( $P<0.01$ ) and increased microglia/macrophage in CA1-CA3 subfields ( $P<0.02$ ). Remarkably, both 3h and full-time EE regimes attenuated hippocampal neuronal death and repressed recurrent strokes with complete prevention of larger infarcts in mice on full-time EE ( $P<0.01$ ). Full-time EE also reduced astrocytic clasmatodendrosis and microglial/macrophage activation in all CA subfields. Our results suggest that exposure to EE differentially reduces long-term hypoperfusive hippocampal damage. The implementation of even limited EE may be beneficial for patients diagnosed with vascular cognitive impairment.

**Keywords:** Animal model; chronic cerebral hypoperfusion; environmental enrichment; hippocampus; vascular cognitive impairment; vascular dementia

## **Introduction**

Vascular dementia (VaD) is the second most common form of dementia, precipitated by accumulative cerebrovascular lesions [28]. Chronic cerebral hypoperfusion has been suggested to be an important substrate of subcortical ischaemic vascular dementia (SIVD), the most common subtype of VaD [21, 45]. SIVD is characterized by white matter changes and lacunar infarctions in which cerebral blood flow (CBF) is decreased over an extended period of time because of small vessel changes [41]. This can promote white matter (WM) changes [45], frontal hypo-metabolism [50] and the cognitive impairment [10] seen in dementia as well as vascular cognitive impairment (VCI).

There is considerable evidence that the pathological changes in the hippocampus are central to the development of cognitive impairment in ageing and dementia caused by both cerebrovascular lesions and neurodegenerative disease [20]. Hippocampal atrophy is also associated with cerebrovascular disease, including ischaemic vascular dementia, ageing-related SVD, hereditary SVD and post-stroke dementia [57]. Hippocampal atrophy further occurs in subjects with subtle memory impairments and in mild cognitive impairment (MCI) [19], and increases risk of dementia in cognitively normal elderly subjects [11].

The bilateral carotid artery stenosis (BCAS) in mice has been widely used in recent times to simulate the effects of SVD and study the sequelae of cerebral hypoperfusion. As one of the most reliable brain non-invasive rodent models, it has been used to evaluate the pathophysiology of VaD [5, 25, 26, 33]. It replicates several pathological hallmarks of SIVD including impaired blood-brain barrier integrity, diffuse lesions in the deep white matter and internal border zones and neuroinflammation. Chronic neurodegenerative diseases are accelerated by inflammatory responses with the involvement of both microglial/macrophage and astrocytic proliferation and activation [18]. Following neuronal or glial damage, inflammatory response, characterised by microglial/macrophage activation, has been demonstrated as having both beneficial and detrimental effects in various neurological diseases, e.g. stroke and Alzheimer's disease [44]. However, chronic over-activation of microglia may cause progressive neurotoxic effects in the brain [55].

Environmental enrichment (EE) has been proposed as a prevention strategy to improve cognitive function in humans and possibly reverse WM and neuronal

damage [22]. Evidence shows that physical and cognitive stimulations, which are incorporated in EE and physical exercise training, could enhance synaptic plasticity and attenuate cognitive deficits in rodents and man [3, 7, 16, 49]. These studies showed that EE increased brain plasticity, enhanced neurogenesis, and increased synaptogenesis as well as preserved motor and cognitive function. Although several previous studies reported the beneficial effects of EE in rodents and man, few studies explored the protective effects of differing degrees of EE in VaD. Here, we investigated the effects of different degrees of EE on the pathological sequelae in mice subjected to BCAS, with the aim of assessing stroke pathology and morphological changes specifically in the hippocampus [22, 24] and cognitive function as they relate to VCI and VaD.

## **Materials and Methods**

### **Animals and surgical procedures**

The experimental paradigm of the study is depicted in Fig. 1. Male C57BL/6J mice (2.1 months old, 23.1–25.3 g) were purchased from the Jackson Laboratory, USA. The mice were housed within a 12-h day and 12-h night cycle (6 am–6 pm, day; 6 pm–6 am, night) and were given access to food and water ad libitum. After 1-week acclimatisation, a total of 74 mice were randomly assigned for either bilateral common carotid artery stenosis (BCAS, n = 41) or sham (n = 33) surgery. BCAS surgery was performed as previously described [22, 46]. Briefly, mice were anaesthetised by 1.5% isoflurane in oxygen and air. A middle neck incision was made, the common carotid arteries (CCAs) were then exposed and isolated from the vagus nerves. Microcoils, (internal lumen - 0.18 mm (Sawane Spring, Japan)), were applied to both CCAs. Sham animals were exposed to the same operative procedures as BCAS mice, except for the application of microcoils. Body temperature was monitored and maintained between 36.5 and 37.5 °C with the aid of a feedback warming pad and a blanket during the operative procedure. Animals were appropriately identified with coded numbers. All of the experiments and data analyses were performed under investigator-blinded conditions. Animals dying during the experimental period and before the planned euthanasia at 4 months after surgery were excluded from further analyses. All procedures were pre-

approved by the Home Office, London, UK based upon ASPA: The Animals (Scientific Procedures) Act 1986, UK and performed in accordance with the guidelines stipulated by the ethical committee of Newcastle University and adhering to ARRIVE guidelines.

### **Environmental enrichment (EE) paradigm and animal groups**

One week after surgery, mice were randomly assigned to six subgroups: Sham-std, sham-operated mice with standard housing; Sham-3hr, sham-operated mice with limited exposure to EE; Sham-full, sham-operated mice with full-time exposure to EE; BCAS-std, BCAS operated mice with standard housing; BCAS-3hr, BCAS operated mice with limited exposure to EE and BCAS-full, BCAS operated mice with full-time exposure to EE. Standard housing denotes a normal housing condition, which incorporated a paper house and shredded tissue. EE cages had extra toys in addition to the standard housing, e.g. running wheels, hanging chains, igloos and a paper tunnel. Limited exposure to EE was performed as described previously [32]. Briefly, for the first month, mice were transferred to the EE cages for 3 hours every day in the morning from 9 am to 12 pm. From second month to end of third month, mice experienced EE cages for 3 hours, 3 days per week. Full-time exposure to EE group experienced an EE environment every day for 24 hours over the entire 3 months. In this study, the experimental animals (survivors) were divided into six groups with the following numbers: Sham-std, n = 11; Sham-3hr, n = 11; Sham-full, n = 11; BCAS-std, n = 13; BCAS-3hr, n = 10; BCAS-full, n = 12.

### **Histopathological analysis**

Mice were anaesthetised by intraperitoneal injection of sodium pentobarbital (50 mg/kg) and perfused transcardially at 20 ml/min with 0.01 M phosphate-buffered saline (PBS), pH 7.4. Brains were removed and divided into two hemispheres. The right or left hemisphere of each brain was randomly assigned for histological analysis and post fixed in 4% paraformaldehyde (PFA) in 0.01 M PBS (pH 7.4) for 48 h. Each hemisphere was cut into five blocks at different coronal levels after fixation: Block OB, coronal level of olfactory bulb (OB); Block 1, coronal level of bregma + 0.5 mm; Block 2, coronal level of bregma –1.0 mm; Block 3, coronal level of bregma – 2.0 mm;

Block 4, level of cerebellum and brain stem. Each sub-dissected block was dehydrated and embedded in paraffin to produce 5- $\mu$ m thick sections (n=2-4) for histological staining and analysis.

### **Incidence, distribution and volume of strokes**

H&E and Klüver–Barrera stained serial sections obtained from the block 3 (coronal level of -2.0mm from the bregma) were used to determine stroke pathology in mice hippocampus. Images were captured using a bright field microscope (Leitz DIALUX 20; Leica) with 5x, 10x and 20x objective lenses coupled to a Lumenera infinity digital camera (Lumenera Corporation). The presence of ischaemic or haemorrhagic infarcts and microhaemorrhages was recorded in each mouse. Incidence of hippocampal strokes in each group was calculated. First, we determined the number of infarcts in the hippocampal formation was counted in each mouse, and then the average number of strokes was calculated for each group. We subsequently determined the volumes, location and percentage of these lesions. To assess stroke volumes in each mouse, the damaged area was traced using ImageJ software (National Institutes of Health, Bethesda, MD, USA). Stroke area (mm<sup>2</sup>) was calculated and multiplied by the thickness (mm) of each block.

### **Histological and immunohistochemical analysis**

At the outset, we were careful to assess all neuronal and glial cell counts in regions of interest generally devoid of any obvious infarction. Histological analysis for the neuronal counts was performed by staining with H&E according to standard protocols. For NeuN immunohistochemistry sections were treated for antigen retrieval by boiling in Tris sodium citrate buffer (pH 6) and subsequently quenched in 3% hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) in PBS. Blocking was performed using 10% normal goat serum (NGS) in 0.05M PBS. Sections were incubated with primary rabbit anti-NeuN antibody (ABN78; diluted 1:500 in PBS, Millipore, Burlington, MA, USA), washed in PBS, and exposed to rabbit secondary antibody (diluted 1:200 in PBS, Vector Laboratories, Burlingame, CA, USA). Finally, samples were developed using avidin-biotin complex (ABC) (PK-6101, Vector Laboratories) and visualised with the chromogen 3,3'-diaminobenzidine (DAB).



For the glial staining, each section was microwaved for 11 minutes in 0.01M citrate buffer, and then left for 30 minutes in 3% hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>), followed by blocking, with 1:67 normal goat serum. Following that, the sections were treated with either anti-glial fibrillary acid protein (anti-GFAP) antibody (1:2000, DAKO) for astrocytes or ionised calcium-binding adaptor protein-1 (Iba-1) (1:200, Wako, Osaka, Japan) for microglia/macrophage. The slides were incubated overnight at 4°C. Secondary antibody incubation with biotinylated anti-IgG (1:200, Vector Laboratories) and detected using ABC Kit (Vector Laboratories). For visualisation, the sections were submerged in DAB and a haematoxylin counterstain was carried out for staining of cell nuclei. Using frozen brain samples, levels of hippocampal BDNF were quantified by the Total BDNF Quantikine ELISA Kit (DBNT00, R&D Systems, MN, USA), according to manufacturer's instructions.

### **Hippocampal cell counts**

Images of each hippocampal subfield were captured using a Zeiss Axioplan2 bright field microscope with a PLAN-Neofluar ZEISS 10x objective lens accompanied by an Infinity Capture 2 camera running on Infinity Capture (Lumerera Corporation) imaging software. For each tissue section, two images of the CA1 (CA1-1-CA1-2) were taken along with one image of the CA2-3 and CA4. The number of hippocampal neurons was determined by manually counting neurons in each region in individual images, with the assessor blinded to experimental groups. Due to the high cell density of the dentate gyrus (DG), each granule cell morphology was difficult to determine, thus precluding quantitative analysis of this region. Neuronal counts were thus performed in the CA1, CA2-3 and CA4. The average neuronal count for each hippocampal subfield was averaged from the counts of three different coronal levels of the hippocampus, from anterior to posterior. Overall counts were also calculated for each case, defined as the sum of neurons in the CA1, CA2-3 and CA4 subregions.

GFAP-positive cells and Iba-1-positive cells within each subregion of the hippocampus were manually counted from images taken with a bright field microscope (Zeiss Axioplan2) with a 20x objective lens (PLAN-Neofluar ZEISS). Each subfield of the hippocampus was traced to determine the area (mm<sup>2</sup>) of each subregion (CA1-4) using ImageJ software. Numerical density of GFAP-positive cells

and Iba-1-positive cells were calculated (/mm<sup>2</sup>). GFAP-positive clasmatodendrocyte was morphologically defined as their loss of processes and swollen round cell body [8]. Iba-1-positive microglia/macrophage was categorized into Ramified (less than 7  $\mu$ m in size of the cell body), Primed (the size of the cell body exceeding 7  $\mu$ m) and Activated (cell body size exceeding 10  $\mu$ m) [23].

### **EdU staining and quantification**

The identification of proliferating cells in the dentate gyrus was performed using 5-ethynyl-2'-deoxyuridine (EdU). Mice were injected intraperitoneally with 25 mg/kg EdU dissolved in sterile PBS (5 mg/ml) and perfused 24 hours later. Tissue processing was performed as previously described. Staining was employed using a Click-iT™ Plus Alexa Fluor™ 488 PicoLy Azide Toolkit (Thermo Fisher Scientific, C10641) following the manufacturer's instructions. EdU positive (EdU+) cells were counted manually in the entire DG using an EVOS FL Color (Life Technologies) microscope with a 10x objective lens. The average number of EdU+ cells was then determined for each experimental group. We also verified proliferating cells by immunostaining with antibodies to Ki67 (1:300 dilution, ab15580, abcam, Cambridge, UK), most frequently used as a proliferating cell marker.

### **Working memory assessment using an innovative three-dimensional nine-arm radial maze**

Cognitive function was assessed in each mouse from 3 months post BCAS surgery during a period of 3 weeks, using an innovative three-dimensional (3D) nine-arm radial maze (3D-RAM) [14]. This was a modified version of the conventional eight-arm radial maze. Behavioural tests were performed in a dimly lit behavioural testing room. The 3D maze (grey PVC, 5 mm thick) consisted of nine arms connected to bridges (slope part) radiating from a central platform. Each arm (35 cm × 11.2 cm) was attached to a bridge (slope part at 40°, 15.2 cm × 11.2 cm). The surface of the bridge was made of metal mesh, which enabled mice to maintain grip. A small transparent wall panel (9 cm × 6 cm) was randomly placed at each entrance of a bridge in order to narrow entry, which avoids a continuous sequential entry from one bridge to the next. In order to enter each arm, mice paced in the centre platform and

needed to cross a bridge (elevated ramp).[14] At the end of each arm, a small pellet (Dustless Precision Pellets® Rodent, Purified, Bio Serv, USA) was placed in order to entice food-restricted mice to enter the arm. Different colours/shapes of pictures were placed vertically at the end of each arm as visual cues, enabling mice to distinguish individual arms. The maze was placed at the centre of a behavioural test room affixed during the entire testing period. All of the sessions for each mouse were streamed and recorded using a camcorder (LEGRIA HF R56, Canon), suspended directly over the maze, via a Wi-Fi network to an iPad (Apple Inc., USA), which was sited outside the behavioural test room.

A day before the first session, each mouse was weighed to ascertain body weight and food deprivation was induced to achieve 10% reduction in their body weight. Mice were weighed immediately prior to each testing session to ensure their BW was maintained at 90% of baseline level. Mice were randomly tested for 3 weeks on consecutive days without prior habituation to the maze [12, 15]. Mice placed into a transparent plastic beaker (7 cm diameter, 18.5 cm height) were gently introduced on the central platform at the start of each session. The session was terminated when each mouse completed nine-arm entries or when 10 min had elapsed. After each session, the mouse was allowed to return to the central platform before removing it from the maze in a similarly gentle manner. Between each session, the maze was cleaned with hypochlorous acid-soaked tissue followed by distilled water to remove any trace smells left by urine or faeces. The number of arm entries before first repeat (NABFR) was recorded in each session. The number of arm entries before first repeat in every consecutive 10 sessions and overall 20 sessions were averaged.

### **Statistical analysis**

Statistical analysis was conducted using SPSS (version 22.0). All data are presented as the mean  $\pm$  SEM. Prior to performing statistical comparisons, all data was tested for normality using the Shapiro-Wilk's test. Parametric data was assessed using a one-way ANOVA followed by Tukey post-hoc analysis. For non-parametric data, multi-group comparisons were carried out using the Kruskal-Wallis test and post hoc comparisons were performed using the Mann-Whitney U test, Correlation analyses

were performed using Pearson's  $r$  or Spearman's  $\rho$  where applicable. All differences were considered significant at the  $P \leq 0.05$  alpha level.

## Results

### Survival rate of animals

All sham-operated mice ( $n = 33$ ) survived until 4 months after surgery. As we have reported previously [22, 24], survival rate of the BCAS mice was 85.4% (35 of original 41 mice) at 4 months after surgery. Survival rates of the BCAS subgroups were as follows: BCAS-std 92.9% (13 of 14), BCAS-3hr 91.0% (10 of 11); BCAS-full 75% (12 of 16). Causes of deaths were: cerebral haemorrhage ( $n = 1$ ), severe enterocolitis ( $n = 1$ ), kidney anomaly ( $n = 1$ ) and acute renal failure due to dehydration ( $n = 3$ ). The final number of survivors in each group was Sham-std,  $n = 11$ ; Sham-3hr,  $n = 11$ ; Sham-full,  $n = 11$ ; BCAS-std,  $n = 13$ , BCAS-3hr,  $n = 10$ ; BCAS-full,  $n = 12$ . Tissues from all the surviving mice were used for each of the assays.

### Limited versus full-time exposure to EE reduces neuronal loss

Figure 2a shows representative images of sections stained with HE (A-F) and for NeuN (G-L) in each group. The loss of CA1 neurons is evident in BCAS std in both HE (D) and NeuN (J) stained sections. Neuronal loss in the CA1 region caused by BCAS was ameliorated by both limited and full-time EE, with full-time EE being a more effective regime in preventing neuronal loss whether revealed by HE ( $P < 0.01$ ) or NeuN ( $P < 0.05$ ) (Fig. 2b,e) and nearly close to levels seen in the sham cohorts. Differences were also noted in the overall neuronal numbers between the two experimental groups ( $P < 0.01$ ). However, no significant difference were evident in the CA2-3 and CA4 neuronal counts between BCAS and sham animals (Fig 2. c-d, f-g). Correlation analysis between NeuN positive neurons and HE stained neurons showed strong positive correlations between the markers in the entire CA 1-4 (Pearson's  $r = 0.72$ ,  $P = 0.001$ ) and CA1 subregion (Pearson's  $r = 0.94$ ,  $P = 0.005$ ) (Fig. 2h, j).

### EE prevents stroke pathology

BCAS Std mice exhibited a range of infarcts in the hippocampal formation after BCAS for a duration of 4 months (Figure 3). Incidence of strokes in the hippocampus was the highest in BCAS-std (31%), followed by BCAS-3hr (30%) and BCAS-full (25%) groups, whereas none of Sham animals exhibited stroke pathologies. Average number of strokes in the hippocampus was also high in BCAS-std (2.3) > BCAS-3hr (1.7) > BCAS-full (1.3). Remarkably, average infarct volumes were attenuated by EE. For instance, stroke volume in the BCAS-full group compared to BCAS-std was significantly smaller ( $P=0.008$ ) with a similar but not significant trend observed between BCAS-full and BCAS-3hr ( $P=0.08$ ) (Fig. 3b). Analysis of infarct sizes across the three BCAS groups showed complete reduction of the larger infarcts ( $>0.1 \text{ mm}^3$ ) in the BCAS-full mice compared to 33.3% and 40.0% for BCAS-std and BCAS-3hr, respectively (Fig. 3c). Similarly, the smallest infarct size ( $<0.03 \text{ mm}^3$ ) was identified least in the BCAS-std group with 11.1%, with levels increasing in the enriched groups up to 66.7% in the BCAS-full group. These results suggest that EE importantly reduces severity of hippocampal infarcts. BCAS induced varied distribution of the location of infarcts with full-time EE resulting in no infarcts in the dentate gyrus (Fig. 3d). Infarcts across the three groups were most common in the CA1 region, followed by CA2-3 and CA4. Moreover, number of CA1 neurons were negatively correlated with total hippocampal stroke volumes (Spearman's  $\rho = -0.57$ ,  $P=0.000$ ).

### Full time EE reduces astrogliosis

Representative images (Fig. 4a) of GFAP-stained hippocampal sections show increased levels of astrogliosis are evident in the BCAS-std and BCAS-3hr groups with increased astrocyte proliferation as well as a loss of astrocyte processes and cell body hypertrophy. BCAS resulted in increased density of GFAP-positive cells, in terms of the total astrocytic response (Fig. 4b-d) and of clasmatodendrocytes alone (Fig. 4e-g) in all subfields. A significant reduction in total GFAP-positive cell density was evident in the BCAS-full mice compared to BCAS-std (all  $P<0.001$ ), with the CA1 region also showing a clear reduction in BCAS-3h against BCAS-std ( $P=0.015$ ). Similar results were observed between BCAS-full and BCAS-std in clasmatodendrocyte density (all  $p<0.001$ ), with differences between BCAS-3h and

BCAS-std seen in all subfields (CA1:  $P=0.008$ ; CA2-3:  $P=0.005$  and CA4:  $P=0.005$ ) (Fig. 4e-g). EE resulted in a reduction of clasmatodendrocytes in all subfields, with full time enrichment again showing the most effect (all  $P<0.001$  vs BCAS-std), although there were also significant decreases in all subfields with limited enrichment (CA1:  $P=0.026$ ; CA2-3:  $P=0.001$  and CA4:  $P=0.005$ ) (Fig. 4h-j).

### **Positive effects of EE on microglial/macrophage proliferation**

There was profound Iba-1 immunostained microglial/macrophage proliferation and activation in the BCAS mice (Figure. 5a). Ramified microglial/macrophage density was also increased in BCAS in all hippocampal subfields (Fig. 5e-g); a finding replicated by assessing the density of primed/activated microglia/macrophage ( $>7\mu\text{m}$ ) (Fig. 5h-j). In both cases, EE prevented increases in microglial/macrophage numbers in all hippocampal subfields ( $P<0.01$ ). In the CA1 region, BCAS-std showed higher densities of total Iba-1-positive microglia/macrophage when compared to BCAS-full ( $P=0.014$ ), with the CA2-3 and CA4 subfields exhibiting similar trends (Fig. 5b-d). There were no differences, however between groups in any of subfields when microglia/macrophage were stratified into primed/activated and ramified types (Fig. 5e-j).

### **Decreased BDNF expression and acceleration in regenerating neurons**

Total hippocampal BDNF levels were decreased in the BCAS-std group compared the sham animals ( $P<0.05$ ). EE did not completely prevent reductions in BDNF levels in either the BCAS-full or BCAS-limited compared to the sham groups ( $P=0.089$  vs BCAS-std) (Fig. 6a).

We used EdU staining method to demonstrate whether EE influenced the status of proliferating cells within the hippocampus. While no other hippocampal sub-region exhibited any positive staining, we found that there were a significant number of EdU+ cells in the dentate gyrus (DG) between the BCAS and sham animals. Furthermore, number of EdU+ cells increased in BCAS mice exposed to EE, EdU+ cells in the DG were greater in the BCAS-full group compared to sham ( $P<0.05$ ) (Fig. 6c). These observations were also consistent using Ki67 as marker of proliferating cells (Fig. 6b).

## Working memory deficits after BCAS

BCAS led to deficits in spatial working memory as represented by lower number of arm entries before first repeat (NABFR) scores (Fig. 7a-c). The overall differences between sham and BCAS were evident within each BCAS group exhibiting significantly lower NABFR scores against all three sham groups (Fig. 7b). This effect was more evident in the second period of testing sessions (sessions 11-20), which showed NABFR scores for the sham groups increased whereas those in BCAS groups stayed relatively the same (Fig. 7c). It should be noted that the NABFR scores there were differences between BCAS-3hr and BCAS-full groups across the two halves of sessions. In both cases, scores in the BCAS-3hr group increased over time, whereas in the BCAS-full group they decreased (Fig. 7c). Interestingly, there was strong correlation ( $p=0.031$ ) between NABFR scores and number of CA1 neurons, suggesting that the neuronal loss seen in the CA1 region has an important role influencing NABFR scores in the BCAS mice (Fig. 7d).

## Discussion

We report on the favourable effects of EE specifically on hippocampal damage in the BCAS mouse model. We found that chronic cerebral hypoperfusion induced by bilateral common carotid artery stenosis (BCAS) caused neuronal loss in the hippocampus, astrogliosis, clasmatodendrosis (increased number of clasmatodendrocytes) and microglial/macrophage proliferation/activation in the hippocampus. This study provides strong evidence to support the notion that limited EE could suppress brain hypoperfusion-induced neuronal and glial damage in the hippocampus in VCI and possibly VaD patients. Additionally, it was shown to particularly improve outcomes in the CA1 region, shown to be the most affected in the hippocampus.

Stroke is related to a two to nine fold increase in risk of dementia [30] with 64% of persons who experience a stroke having some degree of cognitive impairment [21]. A systematic review including nine studies showed that increased physical activity after stroke improves cognitive performance.[9] Our previous study showed that in the whole brain BCAS-std induced stroke injury in 100% of the mice



whilst the incidence of infarcts was reduced by 40% in the mice with limited exposure to EE (BCAS - 3hr) and by 33.3% in the BCAS - full mice. The BCAS - 3hr group also tended to have smaller infarcts compared with BCAS - std and BCAS - full groups [24]. This study showed similarly that environmental enrichment lead to a decrease in lesion size following stroke, as well as resulting in a complete elimination of lesions in the dentate gyrus. This suggests that physical exercise after stroke could reduce the pathological load on the hippocampus leading to improved cognitive outcomes, as has been seen in studies looking at exercise training for cardiovascular disease management [35].

BCAS-induced chronic cerebral hypoperfusion causes selective damage to the CA1 hippocampal subfield, as evidenced by a decrease in CA1 neuronal counts at 3 levels in both H&E and NeuN analysed sections. In contrast, the CA3 showed a greater resistance to hypoperfusion induced pathology, with only mild reductions in neuronal numbers. Notably, BCAS-induced cerebral hypoperfusion causes extensive neuronal loss in the CA1 hippocampal subfield, whilst the CA3 and CA4 display a relative resistance to hypoperfusion. This is in accordance with previous studies showing that brain regions with a high baseline metabolic activity such as the CA1 are extremely susceptible to alterations in cerebral blood flow [42]. This finding is mirrored in longer term BCAS models in which chronic cerebral hypoperfusion results in neuronal loss and atrophy in the hippocampal CA1 subregion, in addition to a relative sparing of other hippocampal regions [38]. Parallel to this, clinical findings have shown that patients with AD and SIVD display a similar pattern of neuronal degeneration and atrophy of the CA1 hippocampal sub-region, with concomitant reductions in regional cerebral blood flow to limbic structures including the hippocampus [31]. Furthermore, recent evidence based on <sup>11</sup>C-Pittsburg compound-B positron emission tomography, identified that dementia patients with pure subcortical vascular based pathology display subtle changes in hippocampal volume and shape which further bolsters the translational relevance of these findings [29]. In this study, we demonstrated that CA1 neuronal loss was strongly related to stroke volumes in the hippocampus, suggesting that vascular brain injury induced by long-term BCAS is directly responsible for some neuronal loss in CA1 region but we were also careful to assess neuronal counts in sections devoid of any infarction.



It has long been established that the CA1 is preferentially affected by neurodegenerative pathologies, such as ischaemia and hypoxia [2, 6, 37]. The basis of this heterogeneous susceptibility to cellular damage is poorly understood but may include glutamate mediated neuronal excitotoxicity, oxidative stress or inflammatory changes [2]. High intrinsic oxidative stress may underlie the regional vulnerability of the CA1 to hypoperfusion [34]. Cerebral hypoperfusion causes an imbalance between the production and detoxification of reactive oxygen species (ROS), leading to the oxidative modification of proteins and lipids, which may be an initiating event in neuronal death [51]. This may also explain the relative resistance of the CA3 to hypoperfusion-induced death, although the CA1 and CA3 are adjacent structures composed of morphologically similar neurons. Experimental studies measuring superoxide formation have shown that CA1 neurons contain significantly higher levels of superoxide anion than CA3 neurons [52]. Furthermore micro-array analysis has shown that CA1 neurons express higher levels of anti-oxidant and ROS producing genes than other hippocampal region [53].

In addition, we found that CA1 has more clasmatodendrocytes [22] than all the other subfields, indicating the vulnerability of that region. This complements other studies that show the liability of CA1. Neurons are thought to be the most vulnerable to ischaemia, with astrocytes showing some resistance although this is reduced when placed in particularly acidic conditions [56]. When the brain experiences cerebral ischaemia, the metabolic activity is suppressed and the structure is damaged. This leads to secondary activation, such as glutamate-mediated excitotoxicity, and consequently cell death [17]. This excitotoxicity is partly due to astrocyte function impairment – there is overstimulation of post-synaptic glutamate receptors because there is reduced uptake of glutamate from the synaptic cleft [1]. The heterotypic coupling from astrocytes means there is a connection with neuronal synapses – if this is lost, the protective role of astrocytes is decreased, leading to further damage [23]. The CA1 region shows a quick reduction in glutamate uptake compared to DG astrocytes – this could explain the relative resistance of DG astrocytes compared to CA1 [40]. The damage to CA1 astrocytes precedes the neuronal death, suggesting the cell death is secondary to astrocyte dysfunction.

In the present study, EdU positive cells were observed in both BCAS and sham animals. The number of EdU positive cells in the BCAS full group was significantly higher than that of the BCAS standard group, which would appear to

support the notion that EE stimulates neurogenesis [48]. However, experimental models have also shown that chronic cerebral hypoperfusion in itself can stimulate neurogenesis [47], which is corroborated by the increased number of EdU positive cells in BCAS groups compared to sham. Despite the ambiguity of the exact mechanisms of cellular proliferation in the DG, it is likely multifactorial encompassing both the ability of neuronal pathology to increase cellular proliferation and EE to stimulate neurogenesis and promote cell survival.

The response of microglia/macrophage might be dependent on different degrees of activation and may be detrimental. Tumour necrosis factor-alpha (TNF- $\alpha$ ) produced by activated microglia/macrophage plays a critical role in the induction of neuronal death in Alzheimer's disease [4]. Additionally, human AD cases with increased colony-stimulating factor 1 receptor (CSF1R) expression exhibited increased proliferation of microglia and inhibition of CSF1R decreased microglial proliferation in a mice model of AD [39]. Earlier studies have suggested that overproduction of cytotoxic factors such as proteases (i.e. matrix metalloproteinase-2 (MMP-2)), reactive oxygen species and pro-inflammatory cytokines [43, 54, 58] that promote neurotoxicity and neuronal damage can be caused by chronic over-activation of microglia results. Chronic cerebral hypoperfusion induces MMP-2, which has roles in BBB disruption, glial activation, and WM lesions after chronic cerebral hypoperfusion [36], in microglia.[27].

A 9-arm radial maze, with raised bridge sections leading to open arms, was used to assess working memory. The results show the deficit seen in BCAS mice ameliorated in those exposed to EE, as seen in our previous studies [14, 23, 24]. Activation of the fronto-subcortical circuits could enhance cognitive function and prevent cognitive decline after cerebral hypoperfusion, which may have been effectively achieved in the limited enrichment group, due to the repeated and time-constrained introduction of the enrichment. The higher scores seen in both indices by the BCAS-3hr group were present more clearly in the later set of sessions (11-20) that are explained by the fact that early sessions trigger more emotional responses induced by novelty and unfamiliarity of the open spaces within the maze. These responses subside over time after repeated exposures [14]. This phenomenon would also explain why BCAS-full animals scored high in the early sessions and then regressed. It would seem disruption of the fronto-subcortical circuits lead to similar

symptoms of “disinhibition” evident in patients with VaD and frontal lobe dysfunction, overcoming the anxiety response normally present in early trials [13].

We also suggest the higher mortality in a proportion of the BCAS-full group is explained by dehydration and acute renal failure possibly due to increased overriding physical activity promoted by full-time exposure to EE. Although EE exposes the animals to a number of different features including physical exercise and social interactions, we noted fivefold greater wheel rotations in the BCAS-full subgroup compared to BCAS-3hr, Sham-3hr and Sham-full subgroups (data not shown).

One of the few limitations of our study is that we assessed BCAS-induced hippocampal pathologies at a single time point, 4 months after surgery. Data obtained from several time points, while cumbersome would be useful to understand the temporal profile of hippocampal responses to ischaemic insults as well as to determine the least required duration of EE to ameliorate hippocampal cerebrovascular changes after BCAS. Another limitation is that we only set limited exposure to EE regime at 3 hours a day. Testing other durations of limited EE will elucidate the optimal effects of limited exposure to EE against cerebrovascular pathologies in the hippocampus over a period of time, as little is known of EE for the most effective way to modify these pathologies.

## **Conclusions**

In summary, long-term chronic cerebral hypoperfusion induced by BCAS could be considered as a relevant VaD model, as it produced neuropathological changes in the hippocampus similar to those seen in VaD patients. This study also demonstrated that EE effectively reduced strokes, protected neurones and preserved neurovascular units and likely BBB integrity, and suppressed detrimental glial activation/proliferation after chronic cerebral hypoperfusion-induced vascular insults in the hippocampus, resultant in amelioration of cognitive decline. EE, and even combination of EE and poly pharmacological approaches would be a safe and effective interventional strategies for cerebrovascular disorders which lead to dementia.

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## **Author's contributions**

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## **Declaration of conflicting interests**

The authors declared no potential conflicts of interest with respect to the research, authorship and/or publication of this article.

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## Figure legends

### Figure 1. Experimental Protocol and animal groups in this study.

BCAS, Bilateral Common Carotid Artery Stenosis; EE, Environmental Enrichment; Std, standard housing; 3hr, Limited EE (Three hours per day); Full, Full-time EE (24 hours a day); RAM, radial arm maze; EdU, 5-ethynyl-2'-deoxyuridine; IP, Intraperitoneal injection; HE, Haematoxylin and Eosin; LFB+CFV, Luxol Fast Blue and Cresyl Fast Violet; IHC/IF, Immunohistochemistry/Immunofluorescence; BDNF, Brain-derived neurotrophic factor; ELISA, Enzyme-linked immunosorbent assay.

### Figure 2. Total number of Neurons in the Hippocampus after long-term bilateral common carotid artery stenosis (BCAS).

**a(A-L)**, Representative images of Hippocampus stained with Haematoxylin and Eosin (HE) (**A-F**) and NeuN (**G-L**) in each group. **a(A and G)**, Sham-Std; **a(B and H)**, Sham-3hr; **a(C and I)**, Sham-Full; **a(D and J)**, BCAS-Std; **a(E and K)**, BCAS-3hr; **a(F and L)**, BCAS-Full. **a(A, D, G and J)**, Insets showing normal appearing CA1 neurons in Sham (**a(A and G)**) and CA1 neuronal loss observed in BCAS group (**a(D and J)**). Scale bar=200µm; Scale bar in insets=50µm. **b-g**, Histograms showing total number of neurons analysed by HE-(**b-d**) and NeuN-(**e-g**) stained sections in CA1 (**b and e**), CA2-3 (**c and f**) and CA4 (**d and g**) subfields of the Hippocampus. NeuN data obtained from two different coronal levels of sections. **b**, Total number of HE-stained neurons in CA1 were reduced in BCAS-Std and EE effectively restored neuronal loss (\* $P<0.05$  and \*\* $P<0.01$  respectively). **e**, Total number of NeuN-positive neurons in CA1 were also reduced in BCAS-Std and EE preserved CA1 neurons after long-term BCAS (\* $P<0.05$ ). **h and j**, Graphs showing correlations between HE-stained and NeuN-positive neurons in the entire CA1-4 (**h**) and CA1 subfield (**j**). Strong positive correlations were observed between those two markers in both the entire CA 1-4 (Pearson's  $r=0.72$ ,  $P=0.001$ ) and CA1 subregions (Pearson's  $r=0.94$ ,  $P=0.005$ ).

### Figure 3. Stroke Pathology in the Hippocampus induced by long-term bilateral common carotid artery stenosis (BCAS).

**a(A-B)**, Representative images of ischaemic stroke lesions in the Hippocampus stained with HE (**A**) and LFB+CFV (**B**) after long-term BCAS. The infarct region

detected in the CA1 field is delineated by the area within the dotted lines. **b**, Histogram showing total volume of infarcts in the Hippocampus in each BCAS subgroup. EE effectively reduced stroke volume after long-term BCAS (\*\* $P<0.01$ ;  $P<0.10$  BCAS-Std vs BCAS-3hr). No infarcts were detected in Sham groups. **c and d**, Pie charts showing distribution of infarct size (**c**) and location of infarct (**d**) in BCAS subgroups. **c**, BCAS-Std tended to show larger infarcts whereas BCAS plus EE groups exhibited smaller infarcts. **d**, Majority of infarcts were observed in CA1 region across all BCAS subgroups. Dentate Gyrus was spared in BCAS-Full group. **a**, Scale bar=100 $\mu$ m.

**Figure 4. Assessment of astrogliosis and clasmatodendrosis in the Hippocampus induced by long-term bilateral common carotid artery stenosis (BCAS).**

**a(A-F)**, Representative images of Hippocampus stained with Grial fibrillary acid protein (GFAP), a marker of astrocytes, in each group. **a(A)**, Sham-Std; **a(B)**, Sham-3hr; **a(C)**, Sham-Full; **a(D)**, BCAS-Std; **a(E)**, BCAS-3hr; **a(F)**, BCAS-Full. **a(A and D)**, Insets showing a normal GFAP-positive astrocyte in Sham (**a(A)**) and a clasmatodendrocyte observed in BCAS group (**a(D)**). Scale bar=50 $\mu$ m; Scale bar in insets=10 $\mu$ m. **b-j**, Histograms showing density of total GFAP-positive cells (**b-d**), GFAP-positive clasmatodendrocytes (**e-g**) and % GFAP-positive clasmatodendrocytes (**h-j**) in CA1 (**b, e and h**), CA2-3 (**c, f and i**) and CA4 (**d, g and j**) subfields of the Hippocampus. **b-g**; Density of total GFAP-positive cells (astrogliosis) (**b-d**) and clasmatodendrocytes (clasmatodendrosis) (**e-g**) were increased in all subregions of Hippocampus in BCAS-Std group. EE effectively attenuated astrogliosis in all subfields (CA1 (**b and e**), CA2-3 (**c and f**) and CA4 (**d and g**)) of the Hippocampus (\* $P<0.05$  and \*\* $P<0.01$  respectively). **h-j**, % GFAP-positive clasmatodendrocytes were also increased in BCAS-Std group. EE reduced clasmatodendrosis in all subfields (CA1 (**h**), CA2-3 (**i**) and CA4 (**j**)) of the Hippocampus (\* $P<0.05$  and \*\* $P<0.01$  respectively).

**Figure 5. Assessment of microglial/macrophage activation in the Hippocampus after long-term bilateral common carotid artery stenosis (BCAS).**

**a(A-F)**, Representative images of Hippocampus stained with ionised calcium-binding adaptor protein-1 (Iba-1), a marker of microglia/macrophage, in each group. **a(A)**,

Sham-Std; **a(B)**, Sham-3hr; **a(C)**, Sham-Full; **a(D)**, BCAS-Std; **a(E)**, BCAS-3hr; **a(F)**, BCAS-Full. **a(A and D)**, Insets showing a normal Iba-1-positive microglia/macrophage in Sham (**a(A)**) and a primed/activated microglia/macrophage observed in BCAS group (**a(D)**). Scale bar=50µm; Scale bar in insets=10µm. **b-k**, Histograms showing density of total Iba-1-positive microglia/macrophage (**b-d**), density of Iba-1-positive ramified cells (**e-g**), density of Iba-1-positive primed/activated cells (**h-j**), and % Iba-1-positive primed/activated cells (**k-m**) in CA1 (**b, e, h and k**), CA2-3 (**c, f, i and l**) and CA4 (**d, g, j and m**) subfields of the Hippocampus. **b-d**, Density of total Iba-1-positive microglia/macrophage was increased in BCAS-Std group in CA1 subregion. EE attenuated microglial/macrophage response in CA1 (**b**) (\* $P<0.05$ ). In CA2-3 and CA4 subfields of the Hippocampus, BCAS-Std group showed slightly higher density of total Iba-1-positive microglia/macrophage and attenuation with EE (**c and d**) ( $P<0.10$ ). **e-j**, Density of Iba-1-positive ramified cells (**e-g**) and density of Iba-1-positive primed/activated cells were also slightly higher in all subfields (CA1 (**e and h**), CA2-3 (**f and i**) and CA4 (**g and j**)) of the Hippocampus. These microglial/macrophage responses were partially attenuated by EE ( $P<0.10$ ). **k-m**, % Iba-1-positive primed/activated cells were not changed amongst all Sham and BCAS groups ( $P>0.05$ ).

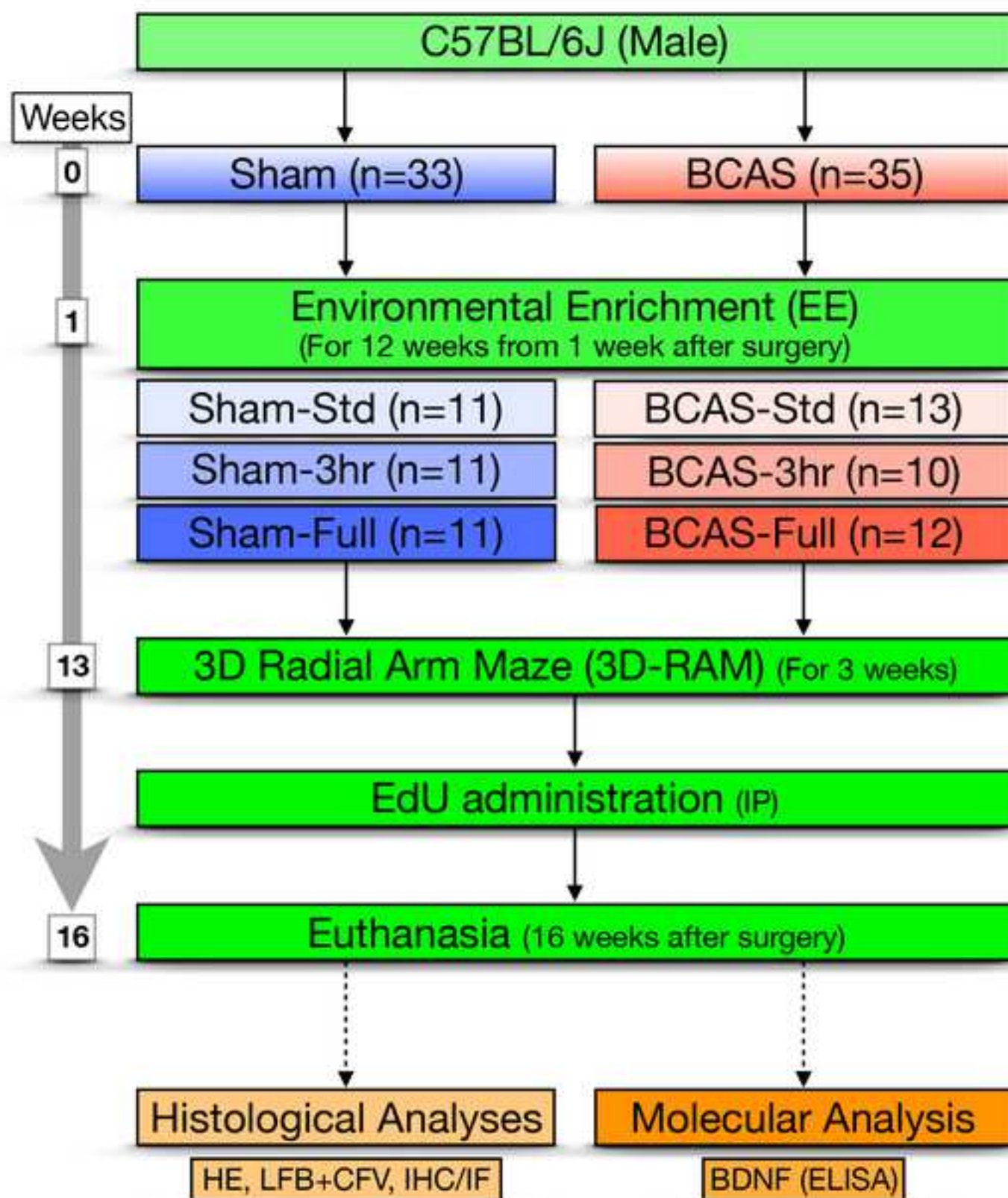
**Figure 6. BDNF expression and assessment of regenerating neurons in the Hippocampus after bilateral common carotid artery stenosis (BCAS).**

**a**, Histogram showing total amount of brain-derived neurotrophic factor (BDNF) in the Hippocampus analysed by enzyme-linked immunosorbent assay (ELISA) in each group. Long-term BCAS caused reduction of total BDNF expression in the Hippocampus compared with all Sham groups (\* $P<0.05$ ). Amongst BCAS subgroups, EE induced more BDNF expression after BCAS ( $P=0.09$ , BCAS-Std vs BCAS-Full). **b**, Images show EDU+ and Ki67+ granule cells in the same region of DG. **c**, Histogram showing number of EdU (5-ethynyl-2'-deoxyuridine)-positive neurons (regenerating neurons) in the dentate gyrus (DG) in each group. BCAS induced neuroregeneration in the DG and EE enhanced neuroregeneration after long-term BCAS compared with all Sham groups (\* $P<0.05$ ).

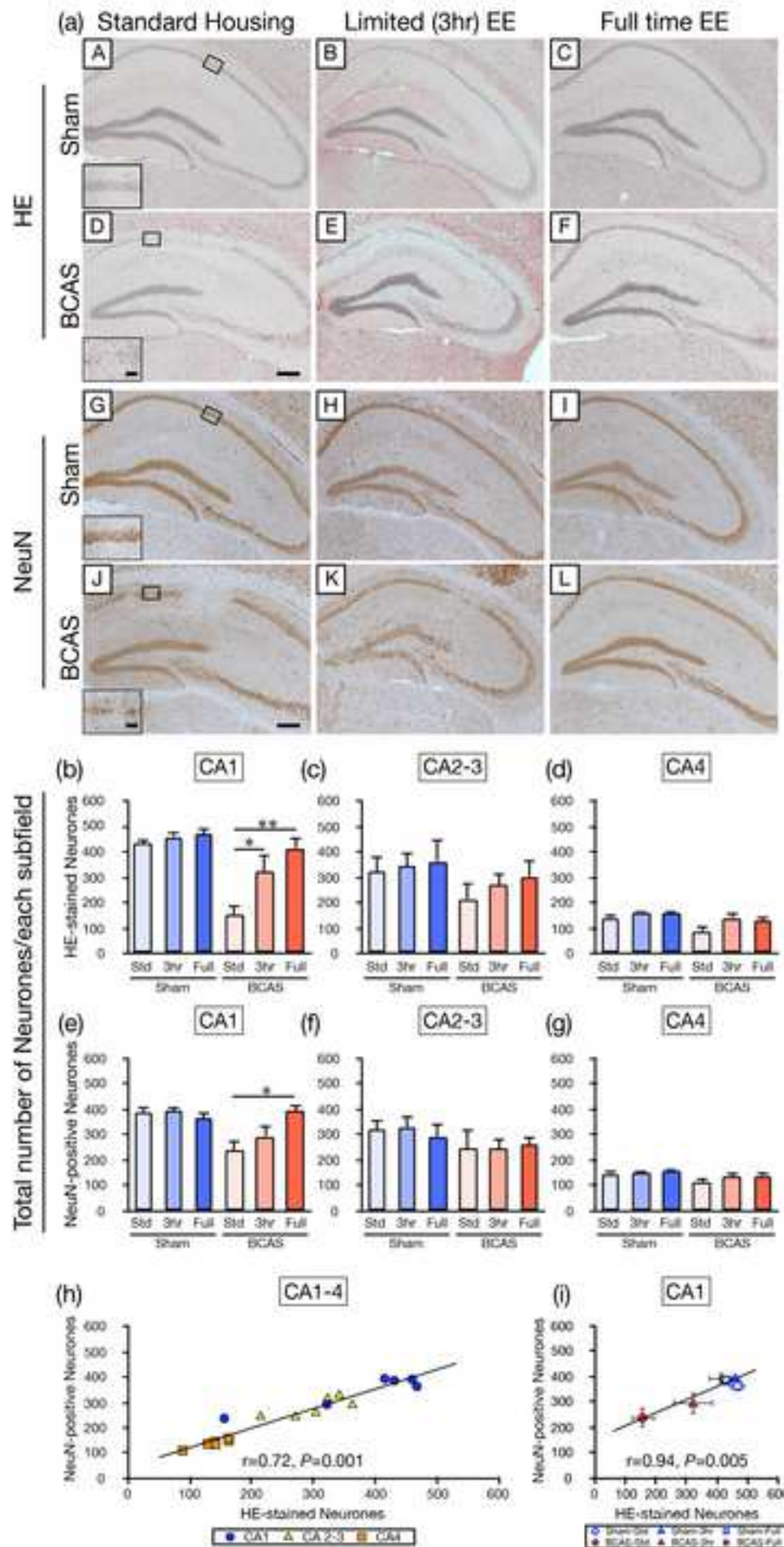


**Figure 7. Assessment of working memory deficit after long-term bilateral common carotid artery stenosis (BCAS).**

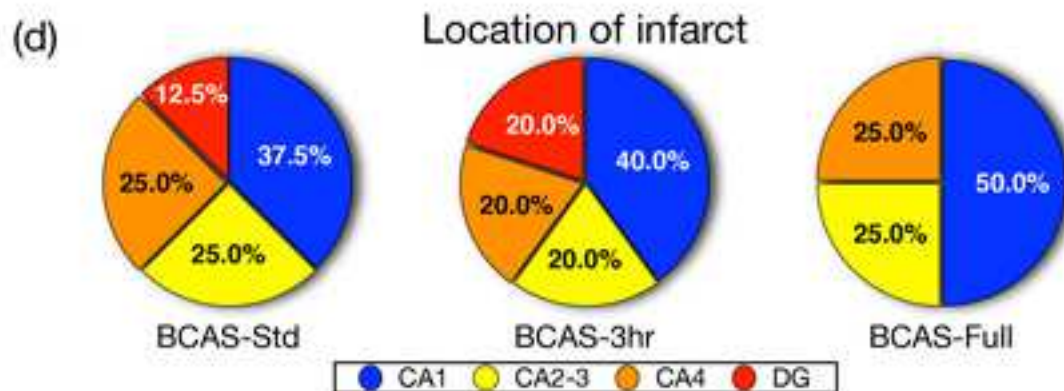
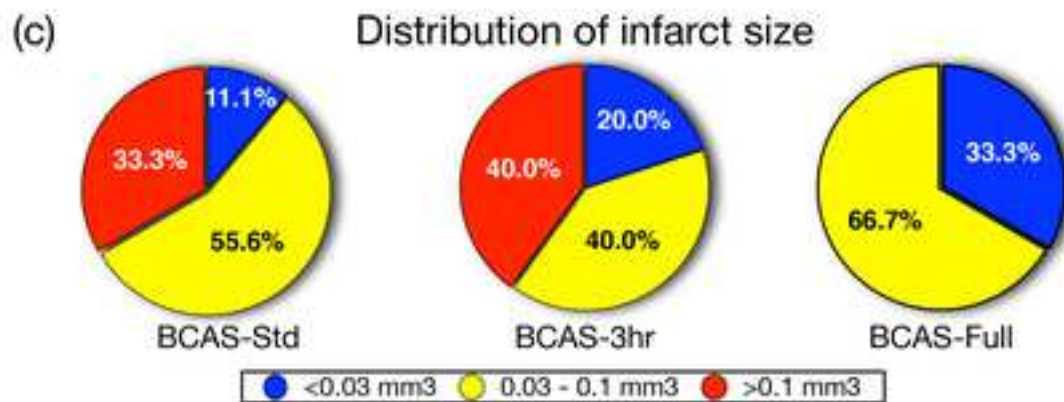
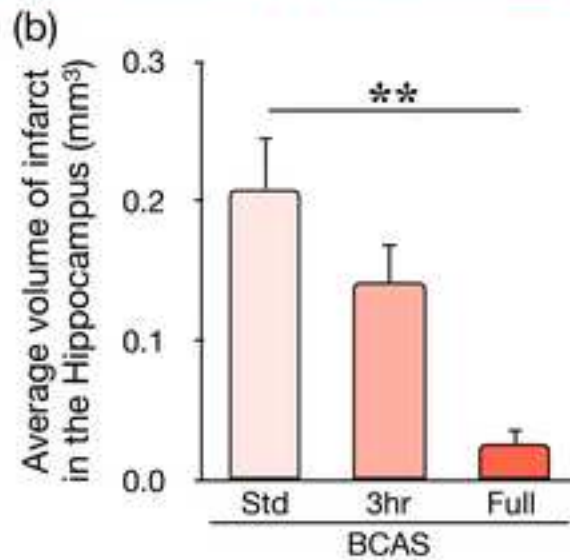
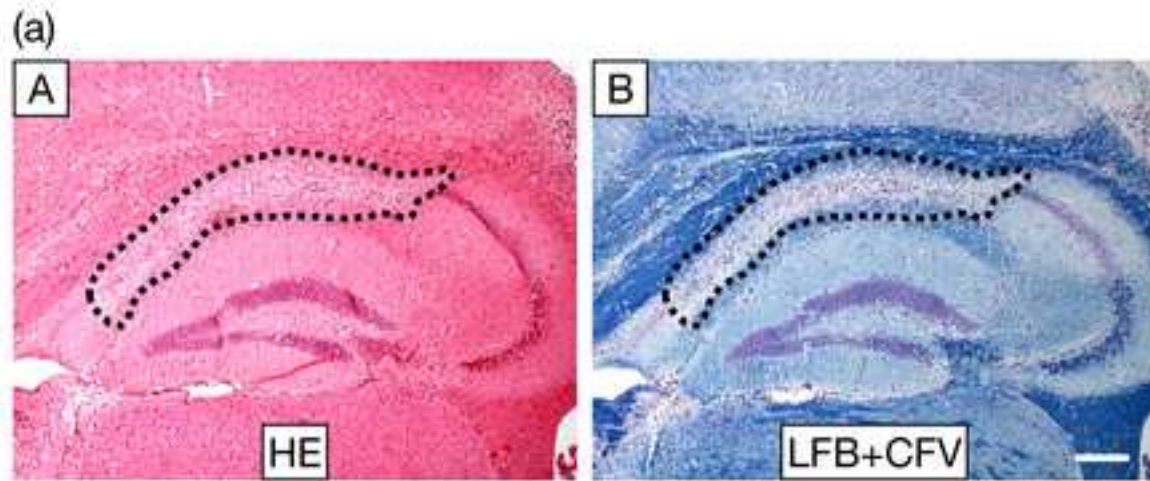
**a**, Number of arm entries before first repeat (NABFR) scores and probability of each number of arm entries before first repeat (%). NABFR scores were defined based upon the difference in % compared with the most probable number of NABFR. Three arm entries before first repeat is the most probable (23.05%) NABFR. Its NABFR score was defined as '0.00' (null). **b**, Histogram showing NABFR score averaged from sessions 1-20. Average NABFR score of all the sessions 1-20 was lower in BCAS subgroups (\*\* $P < 0.01$ ; † $P < 0.05$ ; ‡ $P < 0.05$  compared with all Sham groups). **c**, Graph showing changes in average NABFR score between the former half (sessions 1-10) and the latter half (11-20) in each animal group. All sham groups improved scores towards the end of the session, whereas BCAS remained in low scores with less improvement. **d**, NABFR score averaged from all sessions 1-20 positively correlated with total number of neurons in CA1 of the Hippocampus (Spearman's  $\rho = 0.28$ ,  $P = 0.031$ ).

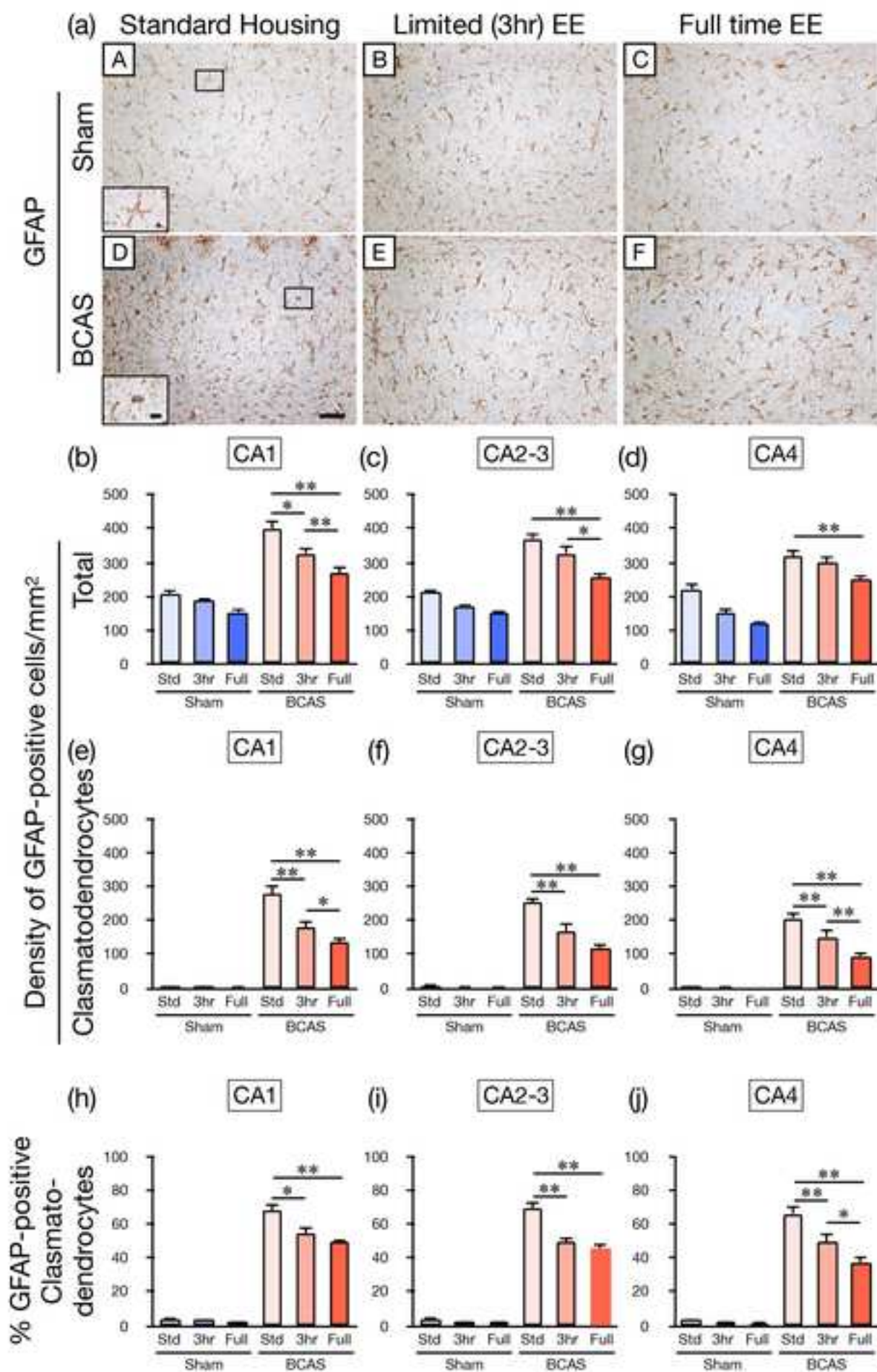


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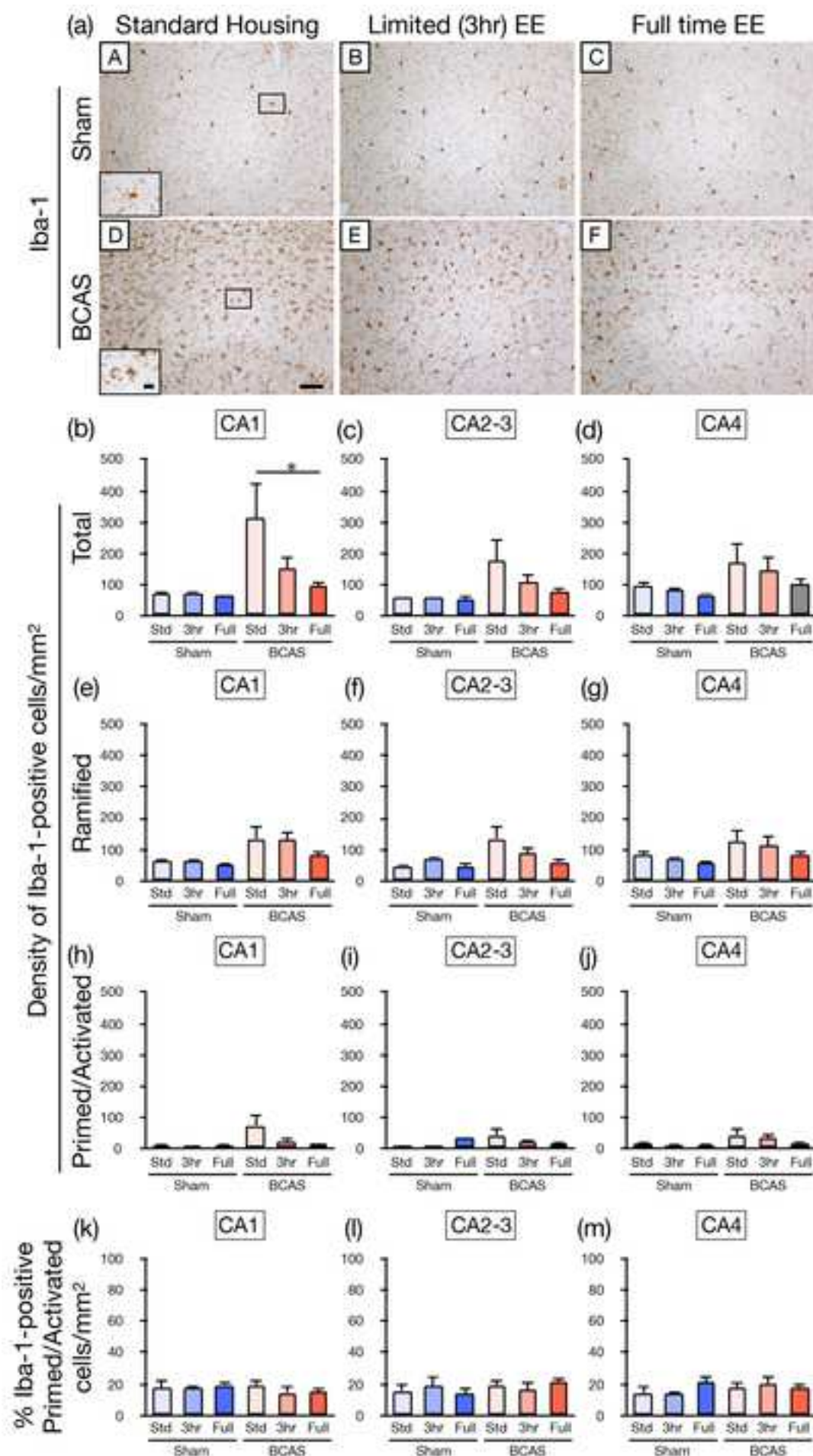


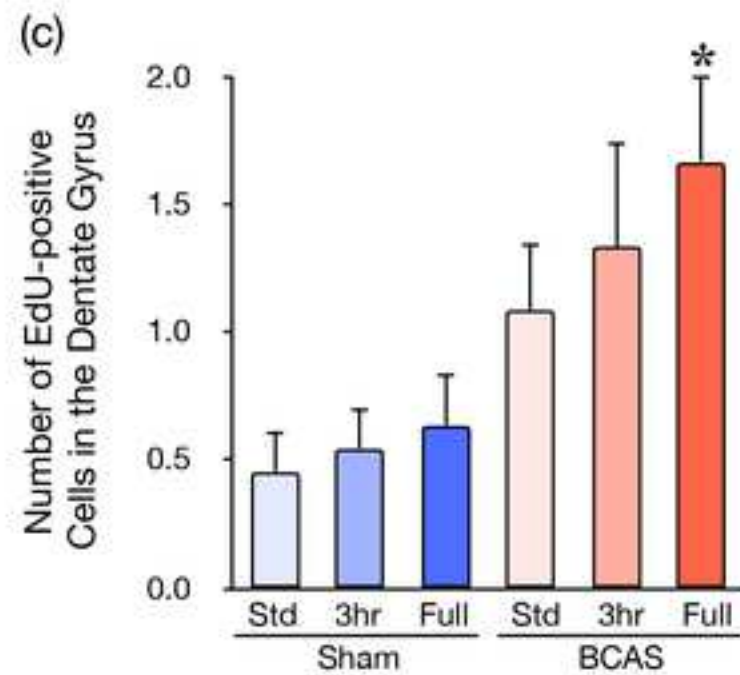
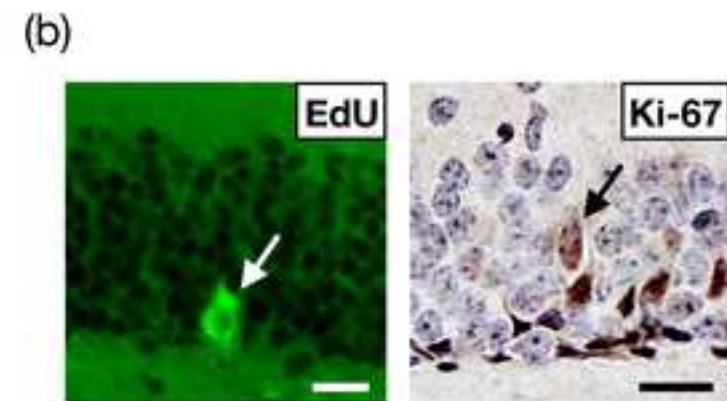
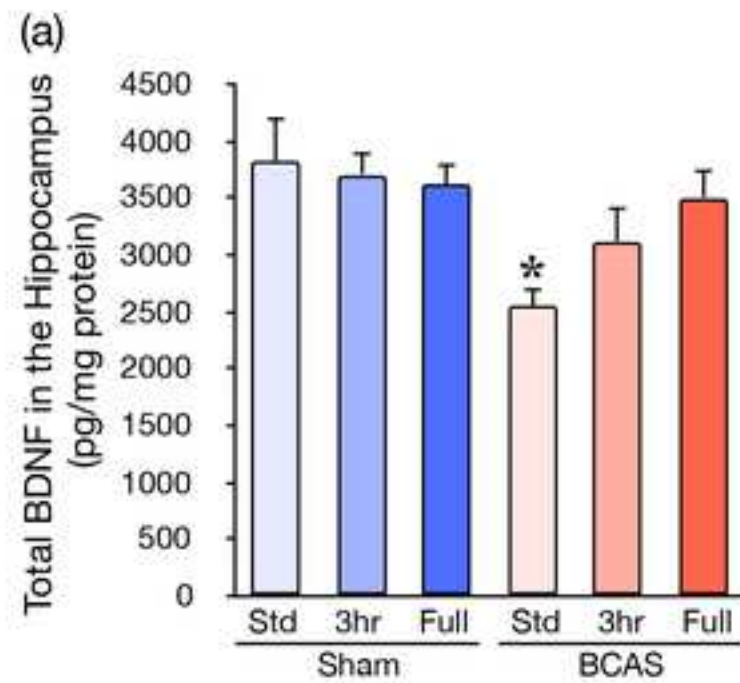








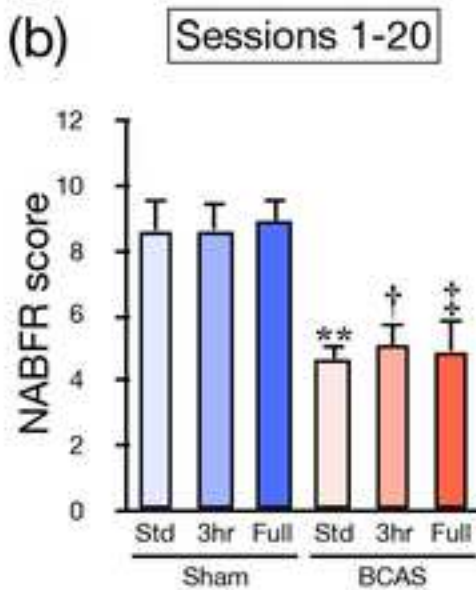




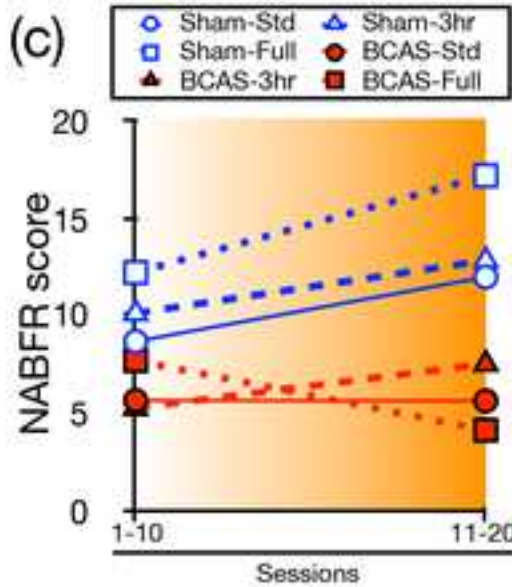
(a)

Number of arm entries before first repeat (NABFR)	1	2	3	4	5	6	7	8	9
NABFR score (Probability %)	-11.93 (11.11)	-3.29 (19.75)	0.00 (23.05)	2.56 (20.48)	8.82 (14.23)	15.46 (7.59)	20.09 (2.95)	22.30 (0.75)	22.95 (0.09)

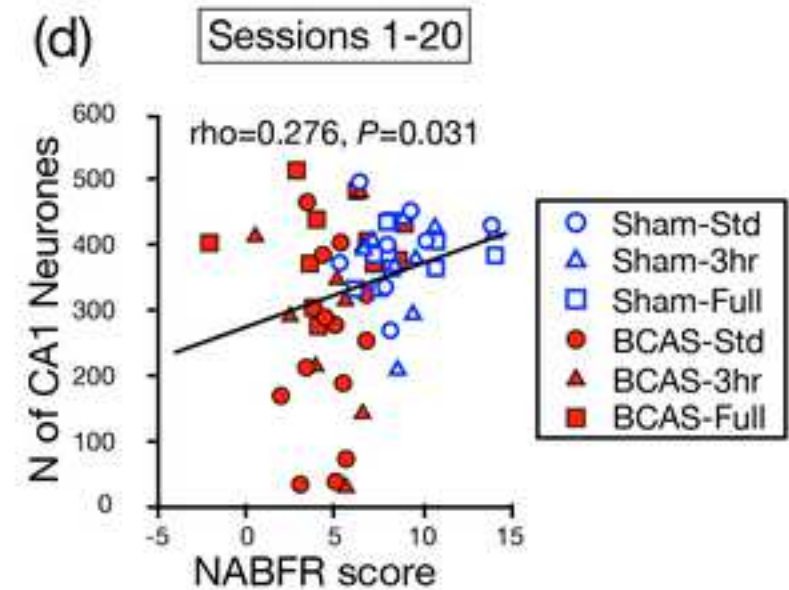
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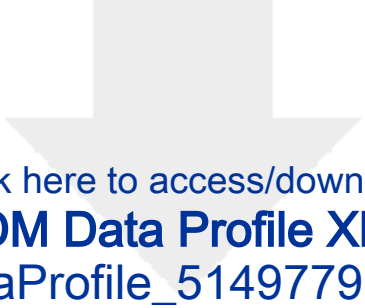
(c)



(d)







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**Brain Research Bulletin****AUTHOR DECLARATION: CONFLICT OF INTEREST**

**Title: Long-Term Effects of Experimental Carotid Stenosis on Hippocampal Infarct Pathology, Neurons and Glia and Amelioration by Environmental Enrichment**

We wish to confirm that there are no known conflicts of interest associated with this publication and there has been no significant financial support for this work that could have influenced its outcome.

We confirm that the manuscript has been read and approved by all named authors and that there are no other persons who satisfied the criteria for authorship but are not listed.

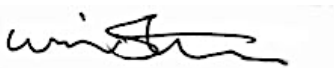

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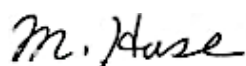
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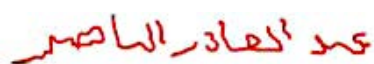
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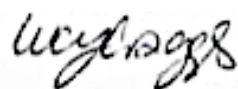
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